PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/613,768

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

**Application Number** 

| TRANSMITTAL<br>FORM                                      |   |   | Filing   | Date                   | July 3, 2   | 003                        |   |
|--|---|---|--|------------------------|---|----------------------------|---|
| (to be used for all correspondence after initial filing) |   | First I                                 | Named Inventor                                     | Eric Mck               | Kinlay  |                            |   |
|  |   | Art Ur                                  | nit  | 1742                   |   |                            |   |
| •  |   |   | Exam   | iner Name              | not yet k   | nown                       |   |
| Total Number of Page                                     | es in T   | his Submission                          | 6 **   | Attorn                 | ey Docket Number  | 10005.0                    | 01510   |
|  |   |   | ENCLO  | SURES                  | (check all that apply)                                      |                            |   |
| ☐ Fee Transmittal F                                      | Form  |   | Assignment Papers (for an Application)  Drawing(s) |                        | After A   | Allowance Communication to |   |
| ☐ Fee Attached   | d   |   | _  |                        | ed Papers   |                            | al Communication to Board of als and Interferences          |
| Amendment / Re   | ply   |   | Petition   | 1                      |   | Appea<br>(Appe             | al Communication to Group<br>al Notice, Brief, Reply Brief) |
| After Final  |   |   | Provisi  | to Conv<br>onal App    | lication  | Propri                     | etary Information   |
| ☐ Affidavits/dec   | claratio  | on(s)                                   | L Power of Change                                  | of Attorn<br>e of Corr | ey, Revocation<br>espondence Address                        | ☐ Status                   | s Letter  |
| ☐ Extension of Time                                      | e Req   | uest                                    | Termina  | al Discla              | imer  |                            | Enclosure(s) e identify below):                             |
| Express Abandonment Request                              |   | Request for Refund  CD, Number of CD(s) |  | Copy<br>docur          | Form SB-08ab;<br>of listed references: V – Z<br>nents only; |                            |   |
| ☐ Information Disclosure Statement                       |   |   |  |                        | Retur   | n receipt postcard         |   |
| Certified Copy of Document(s)                            | Priori  | ty                                      | Remar  | ks                     | ** does not include   | pages of list              | ed references   |
| Response to Miss<br>Incomplete Applic                    |   |   |  |                        |   |                            |   |
| Response to Parts under 3 1.52 or 1.53                   |   |   | <u> </u>   |                        |   |                            |   |
|  | <b>.</b>  | SIGNAT                                  | URE OF A   | PPLIC                  | ANT, ATTORNEY, C  | R AGENT                    |   |
| Firm<br>or<br>Individual name                            |   | ck D. Benedicto, R<br>MOTO & BENEDIO    | -  | 9                      |   |                            |   |
| Signature Parie Berdin                                   |   | ~d·W                                    |  |                        |   |                            |   |
| Date October 17, 2003                                    |   |   |  |                        |   |                            |   |
|  |   |   | CER  | RTIFICA                | TE OF MAILING   |                            |   |
| Service with sufficier                                   | I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |   |  |                        |   |                            |   |
| Typed or printed nam                                     | е   | Patrick D. Bene                         | edicto   |                        |   |                            |   |
| Signature  |   | Pari-LR                                 | seran  |                        |   | Date                       | October 17, 2003  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In re Application of:

Eric McKinlay, et al.

Serial No. 10/613,768

Filed:

July 3, 2003

Title: System, Method and Computer Program Product for Initiating a Software

Download

Examiner: not yet known

Art Unit:

Atty. Dkt. No.: 10005.001510

1742

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

| This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior |
|---|
| application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the             |
| references cited therein are hereby listed but are not required to be provided in   |
| this application under 37 CFR § 1.98(d).  |

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

| $\boxtimes$ | 37 CFR §1.97(b).   |
|-------------|--|
|             | 37 CFR §1.97(c). If so, then either:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a  foreign patent office in a counterpart foreign application not  more than three months prior to the filing of this Statement; OR  no item of information contained in this Information Disclosure  Statement was cited in a communication from a foreign patent  office in a counterpart foreign application or, to the knowledge  of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR  § 1.56 (c) more than three months prior to the filing of this  Statement.  OR |
|             | Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).  |
|             | 37 CFR § 1.97(d). If so, then both:  □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  □ each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR  □ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.        |
|             | AND  Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).   |

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Eric McKinlay, et al.

Dated: October 17, 2003

By: Pain's Bend. W

Patrick D. Benedicto Attorney For Applicant(s) Reg. No. 40,909 OKAMOTO & BENEDICTO LLP P.O. Box 641330 San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

## I hereby certify that this correspondence, including adeposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Paarbear Typed or Printed Name: Patrick D. Benedicto Dated: October 17, 2003 Express Mail Mailing Number (optional):

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ostitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

| Complete if Known      |               |  |  |  |  |
|------------------------|---------------|--|--|--|--|
| Application Number     | 10/613,768    |  |  |  |  |
| Filing Date            | July 3, 2003  |  |  |  |  |
| First Named Inventor   | Eric McKinlay |  |  |  |  |
| Art Unit               | 1742          |  |  |  |  |
| Examiner Name          | not yet known |  |  |  |  |
| Attorney Docket Number | 10005.001510  |  |  |  |  |

|                    |     |   | U.S. PATENT                    | OCUMENTS   |   |
|--------------------|-----|---|--------------------------------|--|---|
| Examiner Cite No.1 |     | Document Number  Number - Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                    | Α   | US- 5,446,919   | Aug. 29, 1995                  | Wilkins  | i igures Appeal   |
|                    | В   | US- 5,717,923   | Apr. 27, 1999                  | Dedrick  |   |
|                    | С   | US- 5,794,259   | Aug. 11, 1998                  | Kikinis  |   |
|                    | D   | US- 5,898,434   | Apr. 27, 1999                  | Small, et al.                                      |   |
|                    | Ε   | US- 5,933,811   | Aug. 3, 1999                   | Angles, et al.                                     |   |
|                    | F   | US- 5,848,396   | Dec. 08, 1998                  | Gerace   |   |
|                    | G   | US- 5,991,735   | Nov. 23, 1999                  | Gerace   |   |
|                    | Н   | US- 6,026,368   | Feb. 15, 2000                  | Brown, et al.                                      |   |
|                    | - 1 | US- 6,088,731   | Jul. 11, 2000                  | Kiraly, et al.                                     |   |
|                    | J   | US- 6,134,532   | Oct. 17, 2000                  | Lazarus, et al.                                    | -   |
|                    | К   | US- 6,286,043   | Sept. 04, 2001                 | Cuomo, et al.                                      |   |
|                    | L   | US- 6,434,745   | Aug. 13, 2002                  | Conley Jr., et al.                                 |   |
|                    | М   | US- 6,446,128   | Sept. 03, 2002                 | Woods, et al.                                      |   |
|                    | N   | US- 6,314,451   | Nov. 6, 2001                   | Landsman, et al.                                   |   |
|                    | 0   | US- 6,317,761   | Nov. 13, 2001                  | Landsman, et al.                                   |   |
|                    | Ρ   | US- 6,347,398   | Feb. 12, 2002                  | Parthasarathy, et al.                              |   |
|                    | Q   | US- 6,381,742   | Apr. 30, 2002                  | Forbes, et al.                                     |   |
|                    | R   | US- 6,438,215   | Aug. 20, 2002                  | Skladman, et al.                                   |   |
|                    | S   | US- 6,601,041   | Jul. 29, 2003                  | Brown, et al.                                      |   |
|                    | Т   | US- 2001/0037240  | Nov. 01, 2001                  | Marks, et al.                                      |   |
|                    | Ū   | US- 2001/0059099  | May 16, 2002                   | Coletta  |   |

|                         | FOREIGN PATENT DOCUMENTS |  |                             |                                |  |                |  |  |
|-------------------------|--------------------------|--|-----------------------------|--------------------------------|--|----------------|--|--|
| Examiner Initials* Cite | 0:4-                     | Foreign Patent Document  |                             | Name of Patentee or            | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |  |  |
|                         |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date MM-DD-YYYY | Applicant of Cited<br>Document |  |                |  |  |
|                         | V                        | WO 01/03028 A1   | 11 Jan. 2001                | Cy-Door Technologies<br>Ltd.   |  |                |  |  |
|                         | w                        | WO 01/39024 A2   | 31 May 2001                 | Avenue A, Inc.                 |  |                |  |  |
|                         | х                        | WO 01/15052 A1   | 01 Mar. 2001                | Memetrics, Inc.                |  |                |  |  |
|                         |                          |  |                             |                                |  | _              |  |  |
|                         |                          |  |                             | ·                              |  |                |  |  |
|                         |                          |  |                             |                                |  |                |  |  |
|                         | 1                        |  |                             |                                |  |                |  |  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/613,768 Filing Date July 3, 2003 First Named Inventor Eric McKinlay Art Unit 1742 Examiner Name not yet known

(Use as many sheets as necessary)

Substitute for form 1449B/PTO

Sheet of Attorney Docket Number 10005.001510

|                        | NON PATENT LITERATURE DOCUMENTS |   |     |  |  |
|------------------------|---------------------------------|---|-----|--|--|
| Examiner<br>Initials * | Cite<br>No.1                    | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T 2 |  |  |
|                        | Y                               | CNET News.com "Web Surfers Brace Pop-up Download", 4 pgs., copyright 1995-2003 CNET Networks, Inc. Retrieved from the internet: <u>URL:http://news.com/2100-1023-877568.html</u> >.   |     |  |  |
|                        | Z                               | Forbes.com "How Not To Wrestle A Gator", 5 pgs., copyright 2003 Forbes.com. Retrieved from the internet: URL:http://www.forbes.com/2003/04/03/cx_ms_0403gator.html>.  |     |  |  |
| ·                      |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
| ***                    |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |
|                        |                                 |   |     |  |  |

|           |            | <br> |
|-----------|------------|------|
| Examiner  | Date       |      |
| Signature | Considered |      |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.